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In re:

as representative of

X
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:
:
: PROMESA
: Title III
:
: Case No. 17-BK-3283 (LTS)

In re:

as representative of

Debtor.

1 The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

This Notice of Participation must be served and filed no later than November 18, 2019 in accordance with the instructions set forth at the end of this document.

Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

MARSHALL ALLAN PICKARTS TRUST
SUZANNE K. PICKARTS, TRUSTEE

Participant Name

Contact Person (if Participant is not an individual)

Email Address

7302 PAGENT

Address line 1

Address line 2

WICHITA, KS 67204

City, State Zip Code

USA

Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

_____ intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

X intends to oppose the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are valid)

THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Suzanne K. Pickarts, Trustee
Signature

SUZANNE K. PICKARTS, TRUSTEE
Print Name

TRUSTEE
Title (if Participant is not an Individual)

11/18/2019
Date

Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



Oppenheimer & Co. Inc.
1223 N. Rock Road
Building F, Suite 200
Wichita, KS 67206

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CLERK'S OFFICE
DISTRICT COURT
SAN JUAN, P.R.

18 NOV '19
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11/18/2019
032A 0061854220

The Clerk of the US District Court
for the District of Puerto Rico
Room 150 Federal Bldg
150 Carlos Chardon Ave
San Juan PR 00918-1767

00918-170399



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To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

Participant Name and Contact Information

Counsel Contact Information (if any)

NORA E. GARROTE

Participant Name

Firm Name (if applicable)

Contact Person (if Participant is not an individual)

Contact Person

negarrote@venable.com

Email Address

Email Address

2714 N. Norwood St.

Address line 1

Address line 1

Arlington

Address line 2

Address line 2

Virginia 22209

City, State Zip Code

City, State Zip Code

USA

Country

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

 intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

X intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

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3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

CUSIP 29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one). *not me, bought thru UBS*

By:

Signature

Nora E. Garrote

Print Name

NORAE. Garrote

Title (if Participant is not an Individual)

Date

11/16/19

Instructions for Serving and Filing Notice of Participation: This Notice of Participation must be (i) **served** by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) **filed** electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

VENABLE

LLP

600 MASSACHUSETTS AVE., NW

WASHINGTON, DC 20001

Case: 17-03283-LTS

Doc#: 9347-1

Filed: 11/21/19

Entered: 11/26/19 16:26:14

Desc:

Pro Se Notices of Participation Page 8 of 8

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The Clerk of the US District Court
for the District of Puerto Rico
Room 150, Federal Building
150 Carlos Chardon Avenue
San Juan, PR 00918-1767